



CHARLOTTE SQUARE

INVESTMENT MANAGERS

THE BEST OF BOTH

CHARLOTTE SQUARE & RAYMOND JAMES

At Charlotte Square, we have always adopted a 'client first' approach, which means we look for excellence at every level of the service we provide you. This is behind our affiliation with Raymond James, who provide top quality back office administration for our client accounts - leaving us free to focus on what matters: our clients and their investments. We have complete discretion when it comes to all investment decisions. Our Edinburgh office is the day to day point of contact for all client related enquiries. Raymond James provide peace of mind behind the scenes with their robust systems and leading edge technology.

This support from Raymond James sits within a regulatory umbrella that includes compliance oversight, FCA authorisation and professional indemnity cover. Clients also gain the security of investor protection under the Financial Services Compensation Scheme (FSCS) rules* through their arrangement with Pershing Securities Ltd who act as a custodian.

It really is the best of both worlds. Clients who entrust their funds to Charlotte Square can feel confident their accounts are being handled by a business with the pedigree of Raymond James, while benefiting from the more personalised care and level of investment expertise Charlotte Square brings.

RAYMOND JAMES UK BY NUMBERS



300+ Wealth Managers



100+ wealth management practices



39,000+ client accounts



£11.71bn in total client assets

Raymond James Investment Services Ltd stats as at 30 June 2020

RAYMOND JAMES – UK ACCOLADES

In the 2018 Schroders UK Platform Awards Raymond James won the award for 'Best Use of Platform Technology' for the Raymond James Trading and Rebalancing solution, which they developed in partnership with Investment Software. They were also highly commended in the category 'Best Platform for Discretionary Management'.

In the 2019 Professional Adviser Awards Raymond James was awarded Best Platform for Discretionary Management.



RAYMOND JAMES FINANCIAL (RJF)

With a market Cap of \$9.8bn, RJF is the parent company of Raymond James.

RJF is ranked amongst the most admired securities companies in the world by Fortune magazine.

In June 2017, Raymond James was named in the Fortune 500 list for the second year in a row. In March 2017 Raymond James joined the S&P 500.

RJF by Numbers

- 8,200+ wealth managers
- \$877bn in client assets

RJF stats as at 30 June 2020



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INVESTMENT MANAGERS

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Important Information: The value of investments, and the income from them, can go down as well as up, and you may not recover the amount of your initial investment. Raymond James Investment Services Limited (Raymond James), a wholly owned subsidiary of Raymond James Financial, Inc (RJF). Raymond James is a member of the London Stock Exchange and is authorised and regulated by the Financial Conduct Authority. Registered in England and Wales No. 3779657. Registered Office Ropemaker Place 25 Ropemaker Street London EC2Y 9LY. Charlotte Square is a trade name of Raymond James utilised under exclusive licence. Pershing Securities Limited is authorised and regulated by the Financial Conduct Authority. The formula the FT used to grade advisors is based on six broad factors; assets under management, AUM growth rate, years of experience, compliance record, industry certifications and online accessibility. Fortune ranking is based on an average score of 9 key attributes of reputation: people management, use of corporate assets, social responsibility, quality of management, financial soundness, long term investment, quality of products/services, global competitiveness, and innovation. *The FSCS provides cover for eligible claimants. Please refer to <https://www.fscs.org.uk/what-we-cover/compensation-limits/> for more information.

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